

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group Art Unit: 2185

Examiner: Not Yet Assigned

For: Surface Plasmon-Enhanced Read/Write Heads for Optical

Date: July 2, 2001

INFORMATION DISCLOSURE STATEMENT

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JUL 1 2 2001

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Application of: M. Sakaguchi et al.

Serial No.: 09/721,694

Filed: November 27, 2000

Data Storage Media

Technology Center 2100

Dear Sir:

Pursuant to the duty of disclosure of information material to the patentability of claims in the above-captioned patent application under 37 C.F.R. §1.56, submitted herewith is a completed Form PTO-1449 which lists 1 (one) reference. A copy of the reference cited herein is enclosed herewith.

Applicants respectfully submit that no fee is due because it is believed that this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits. Nevertheless, authorization is hereby given to charge any fees which may be required, except the issue fee, to Deposit Account 14-0627.

Respectfully submitted,

Andrew G. Isztwan,

Reg. No. 40,028 (609) 951-2533

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on or before July 2, 2001.

DOCKET: NECI1069

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			1 JUL	1 7 2001	APPLICANT(S) M. Sakaguchi et al.		JUL 20 2001 TC 2600 MAILROOM			
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REFER	ENCE I	DESIGNATION	U.S. P.	ATENT DOCU	MENTS					
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.